NOTIFICATION OF POTENTIAL QUALITY ESCAPE

The intent of this notice is to inform you of a potential nonconformance(s) impacting UTC Member product.

**Warning: This form once completed should be reviewed by an export control representative to ensure compliance with all applicable export regulations.**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Block 1 Document Identification | | | | | | |
| 1. Document Identification Number : | 2. UTC Member Company | | 3. Nonconformance Document Number | | | |
| 4. Supplier | | | 5. Supplier Code | | | |
| 1. Supplier Representative | | | | | | |
| 1. Supplier Representative email address | | | | | | |
| 1. Supplier Representative Phone Number | | | | | | |
| 9. UTC Member Contact | | | | Date | | |
| Block 2 Identification of Product Affected | | | | | | |
| 10. Purchase Order Number | | 11. PO Item Number | | | | |
| 12. Part Number | | 13. Part Description | | | | |
| 14. Drawing No. | | 15. Date of Original Manufacture | | | | |
| 16. Quantity of Nonconforming Parts  Part Serial Number(s) | | | | | | |
| Block 3 Description of Nonconformity | | | | | | |
| 17. Specification Requirement  18. Detailed description of the nonconformity | | | | | | |
| Block 4 Containment Action(s) – Response required within 24 hours | | | | | | |
| 19. To assist in containment of this problem, please address these key questions and sign off below. | | | | | **YES** **YES** | **NO** |
| a. Have you shipped, or is there any suspect material in transit to this or any other UTC division? | | | | |  |  |
| b. Do you have any similar parts in finished stores with the same problem? | | | | |  |  |
| c. Do you have any suspect material currently in production that may exhibit this problem? | | | | |  |  |
| d. Does this problem exist in similar UTC Division part numbers? | | | | |  |  |
| e. Has a sub-tier supplier contributed to this problem? | | | | |  |  |
| f. Has any suspect material been drop shipped to a UTC directed source? | | | | |  |  |

|  |  |
| --- | --- |
| Block 5 Supplier Representative | |
| 20. Originator Name:  Title | Date  Date |

**ATTACHMENTS**

**Figure 1**

**INSTRUCTIONS**

**For**

**NOTIFICATION OF POTENTIAL QUALITY ESCAPE FORM**

Continuation sheets may be added and should include reference number of the original document (Box 1).

**Block 1 – Document Identification**

1. Document Identification Number – Supplier generated unique number for tracking
2. UTC Member Company – Identification of customer – PW, PWC, UTAS
3. Nonconforming Documentation Number – Quality Notification, Nonconforming Material Review Request
4. Identification of supplier/subcontractor that produced the part
5. Supplier Code – (UTC Member assigned supplier code)

9. Originator of the form

**Block 2 – Identification of Product Affected**

10. Purchase order number

11. Purchase Order item number

12. Lowest level part number that has the nonconformance

13. The name of the part

14. Drawing Number and revision, page number and location reference

15. Date of original manufacture

16. Quantity of nonconforming parts plus serial numbers, if applicable

**Block 3 – Description of Nonconformity**

17. Include description of requirements.

18. A detailed description of the nonconformance. Sketches, drawings, specifications, etc. should be used to help describe the nonconformity.

**Block 4 – Containment Action(s) – Response required within 24 hours**

19. Name, title and contact information of supplier representative who submits the NOPQE

**Block 5 – Signatures/Approvals**

20. Name and title of supplier representative providing notification.