

June 22, 2026

Subject: RTX Corporation Notice Regarding Proposed DFARS Rule- Foreign Ownership, Control, or Influence (DFARS Case 2021-D011)

Dear RTX Supplier,

As part of our obligation under U.S. Government (USG) contracts, RTX Corporation (RTX) is providing this notice to make sure our suppliers are aware of the recently published proposed rule under the Defense Federal Acquisition Regulations Supplement (DFARS), DFARS Case 2021-D011, relating to Foreign Ownership, Control, or Influence (FOCI) considerations in connection with U.S. Government defense contracting.

The proposed rule, if finalized, may impose certain disclosure, reporting, risk assessment, and flow-down obligations on contractors and subcontractors supporting USG contracts and programs, particularly within the defense industrial base.

Although the rule is currently proposed and not yet final, suppliers supporting our USG business should be aware of the following general considerations:

- Companies performing on covered USG contracts or subcontracts may be required to evaluate and disclose certain FOCI relationships, as contemplated by the proposed rule.
- The proposed rule contemplates obligations that may extend to subcontractors, including certain subcontractors with awards exceeding \$5 million.
- Suppliers should assess whether they maintain processes to identify and monitor:
 - Foreign ownership interests
 - Foreign investors or parent entities
 - Foreign control rights or governance arrangements
 - Foreign influence over operations, management, facilities, information systems, or supply chains
- Suppliers should also consider whether their subcontracting practices and supplier due diligence processes are sufficient to support potential future compliance obligations if the rule is finalized.
- Depending on the nature of the work performed, additional information requests, certifications, representations, and/or contractual flow-down requirements may be implemented in the future.

Currently, this communication is intended solely as a general awareness notice and does not constitute legal advice or a formal contractual direction. Suppliers are responsible for independently evaluating the applicability of any current or future regulatory requirements to their operations and contracts.

We encourage suppliers supporting USG and defense related programs to monitor developments associated with DFARS Case 2021-D011 and consult with their internal compliance and legal teams as appropriate.

Thank you for your continued partnership and support.

Sincerely,

Edward Dunn
Senior Vice President, Global Supply Chain